



Attorney's Docket No. 41007.P001

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Re Application for:

Wetherall et al.

Application No.: 09/631,898

Filed: August 4, 2000

For: A DISTRIBUTED SOLUTION FOR
REGULATING NETWORK
TRAFFIC

Examiner: Not yet assigned

Art Group: 2731

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: APRIL 16, 2002

Name of Person Mailing: MICHELLE TURNER

Signature: [Signature] Date: 4.16.02

COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37

C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)):

 X 37 C.F.R. § 1.97(b). Filed:

- (1) Within three months of a National Application other than a CPA;
- (2) Within three months of entry into National Stage;
- (3) Before mailing of a first Office Action on the merits; or
- (4) Before mailing of a first Office Action after filing an RCE.

 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution.

And, ONE of the following:

(1) One statement from 37 C.F.R. § 1.97(e);

 (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

 (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

OR

(2) The fee set forth in 37 C.F.R. § 1.17(p).

 A check in the amount of \$180.00 is enclosed.

 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee.

And, BOTH of the following:

(1) one statement from 37 C.F.R. § 1.97(e);

 (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

 (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

AND

(2) The fee set forth in 37 C.F.R. § 1.17(p).

 A check in the amount of \$180.00 is enclosed.

Accompanying this IDS is a Form PTO-1449 and a copy of each reference listed therein, EXCEPT references pursuant to one or more of the following sections of 37

C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)):

_____ 37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).

_____ 37 C.F.R. § 1.98(d) as being previously submitted in parent application
____/____,____, to which the present application claims priority under 35
U.S.C. § 120.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 501569. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,
COLUMBIA IP LAW GROUP, PC

Dated: 11/6 , 2002



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/631,898
Filing Date	August 4, 2000
First Named Inventor	David J. Wetherall
Group Art Unit	2731
Examiner Name	Not yet assigned
Attorney Docket Number	41007.P001

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2

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2

OTHER ART (INCLUDING TITLE, AUTHOR, DATE, PERTINENT PAGES, ETC.)

Exam. Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	2	MARIO GERLA ET AL., "Network Management Using Database Discovery Tools", Proceedings, 16th Conference on Local Computer Networks, October 14-17, 1991, Minneapolis, MN, USA, pages 378-385, October 14, 1991, IEEE Computer Society, Los Alamitos, CA, USA.
	3	AUREL LAZAR ET AL., "An Architecture for Managing Quality of Service on Broadband Networks", Singapore ICCS/ISITA 1992, November 16-20, 1992, pages 974-978, November 16, 1992, IEEE, New York, NY, USA.
	4	JIANN-LIANG CHEN ET AL., "A Knowledge-based System for ATM Network Management", IEEE International Conference (on Systems, Man, and Cybernetics, Humans, Information, and Technology), San Antonio, TX, USA, October 2-5, 1994, pages 548-552, October 2, 1994, IEEE, New York, NY, USA.
	5	JIAHAI YANG ET AL., "A Scalable, Web-based Architecture for Hierarchical Network Management", Global Telecommunications Conference - Globecom 1999, Enterprise Applications and Services, pages 1882-1888, IEEE.

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